

PERSONAL NAMES (CHAPTER 22 & 26)



On April 30, 1789, George Washington, standing on the balcony of Federal Hall on Wall Street in New York, took his oath of office as the first President of the United States

100 1_ Washington, George, \$d 1732-1799

1

Personal Names — AACR2 22.1 General rule

22.1A In general, choose, as the basis of the heading for a person, the name by which he or she is commonly known.

2

AACR2 22.1

- This may be the person's real name, pseudonym, title of nobility, nickname, initials ...
- Duke of Wellington [**not** Arthur Wellesley]



In 1814 Wellesley was granted the title,
Duke of Wellington

3

Personal Names — AACR2 22.1B

- Determine the name by which a person is commonly known from the **chief sources** of information (see 1.0A) of works by that person issued in his or her language.
- ✓ Treat music composers as authors

4

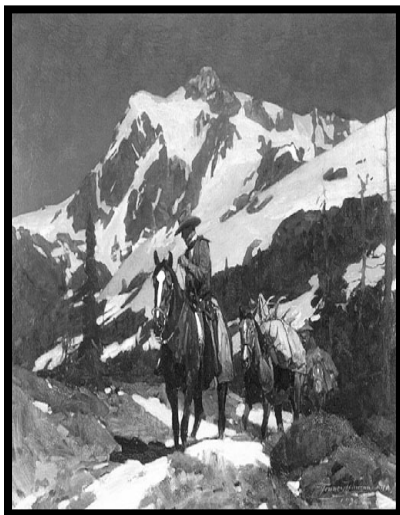
LCRI 22.1B (p. 2)

Non-authors (or) Others

If that person works in a nonverbal context ... or is not known primarily as an author, determine the name by which he or she is commonly known from reference sources ...

5


Artist: Frank Tenney Johnson



**Painting by Frank
Tenney Johnson
16" x 20" Oil**

Non-author

6

<p>1 010 74-2373</p> <p>2 040 DLC \$c DLC</p> <p>3 020 0385078579</p> <p>4 050 0_ ND237.J72 \$b M28</p> <p>5 082 759.13</p> <p>6 100 1_ McCracken, Harold, \$d 1894-</p> <p>7 245 14 The Frank Tenney Johnson book; \$b a master painter of the Old West.</p> <p>8 250 [1st ed.]</p> <p>9 260 Garden City, N.Y., \$b Doubleday, \$c 1974.</p> <p>10 300 207 p. \$b illus. (part col.) \$c 35 cm.</p> <p>11 600 10 Johnson, Frank Tenney, \$d 1874-1939.</p> <p>12 700 1 Johnson, Frank Tenney, \$d 1874-1939.</p>	
---	--

NAR for Johnson (Artist)

1 010 nr 99014081

2 040 UPB \$b eng \$c UPB

3 005 19990506051411.1

4 100 1_ Johnson, Frank Tenney, \$d 1874-1939

5 670 The Frank Tenney Johnson book : a master painter of the Old West, 1974.

6 670 OCLC, Apr. 19, 1999 \$b (hdg.: Johnson, Frank Tenney, 1874-1939; usage: Frank Tenney Johnson)

7 670 Union list of artist names, via WWW, Apr. 29, 1999 \$b (Johnson, Frank Tenney; artist, painter and muralist; b. 1874; d. 1939)

Reference source

22.2A Predominant Name

If a person is known by more than one name, choose the name by which the person is clearly most commonly known, if there is one. Otherwise, choose one name or form of name based on the following order of preference:

- the name that appears most frequently in the person's works
- the name that appears most frequently in reference sources
- the latest name

9

Nicknames LCRI 22.2A

If name shows a nickname in parentheses or quotation marks as part of other forenames, omit the nickname in formulating the heading.

Usage: Martin (Bud) Schulman

100 1_ Schulman, Martin

400 1_ Schulman, Bud

Usage: Louis "Satchmo" Armstrong

100 1_ Armstrong, Louis, \$d 1901-1971

400 1_ Armstrong, Satchmo, \$d 1901-1971

10

Married Women LCRI 22.2A

If married woman's name shows her own forename(s) in parentheses as part of her married name, omit the parenthetical elements in formulating the heading.

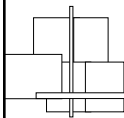
Usage: Mrs. John A. (Edna I.) Spies

100 1_ Spies, John A., \$c Mrs., \$d 1905-

400 1_ Spies, Edna I., \$d 1905-

11

PSEUDONYMS: CONTEMPORARIES & NON-CONTEMPORARIES



AACR2 22.2B

&

LCRI 22.2B

12

Pseudonyms -- 22.2B

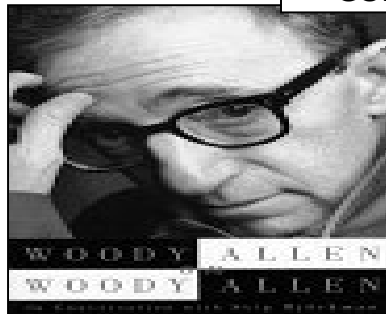
- ✓ Author is a contemporary and uses only a single name which is a pseudonym
 - ❖ **Woody Allen**
 - ✓ Author is non-contemporary and does not use separate bibliographic identities
 - ❖ **George Eliot**
- Create only 1 NAR

13



**LCRI
22.2B**

CONTEMPORARY



ALLEN, WOODY (b. Dec. 1, 1935, Brooklyn, New York, N.Y., U.S.; original name Allen Stewart Konigsberg)

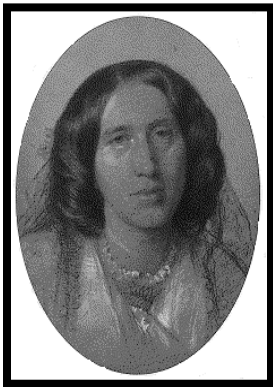
14

010 n 79090269
040 DLC \$b eng \$c DLC \$d DLC \$d OCoLC
005 20011129071400.0
053 _0 PS3551.L44
100 1_ Allen, Woody KNOWN BY PSEUD.
400 1_ Konigsberg, Allen Stewart REAL NAME
400 1_ Konigsberg, Allan Stewart
670 Woody Allen [Phonodisc] 1964.
670 Film composers guide, c1990 \$b (Woody Allen;
Allen Stewart Konigsberg; b. 12-1-1935, Brooklyn, N.Y.)

LCRI 22.2B

15

Pseudonyms: Non-contemporaries



- George Eliot
- (Mary Ann Evans)
- (1819-80)

English novelist. Mary Ann Evans (real name) was the foremost woman novelist of her time

16

010 n 79045512 \$z no 98012501
040 DLC \$b eng \$c DLC \$d DLC \$d PPRF \$d InU \$d DLC \$d INS-SF \$d OCoLC
005 20011122072449.0
053 _0 PR4650 \$b PR4698
100 1_ Eliot, George, \$d 1819-1880
400 1_ Cross, Marian Evans, \$d 1819-1880
400 1_ Evans, Marian, \$d 1819-1880
400 1_ Eliot, Dézordéz, \$d 1819-1880
400 1_ Eliot, George, \$c pseud., i.e. Marian Evans, afterwards Cross, \$d 1819-1880 \$w nnaa
400 1_ Eliot, Dzhordzh, \$d 1819-1880

**NON-CONTEMPORARY
NOVELIST**

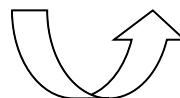
**Only 1 NAR
needed**

17

NON-CONTEMPORARIES — DIFFERENT BIBLIOGRAPHIC IDENTITIES

22.2B2

- Create separate NARs
- Connect with 5XXs
- Example: Lewis Carroll



18

100 1_ Carroll, Lewis, \$d 1832-1898

400 1_ Karol, Luis, \$d 1832-1898

400 1_ Kerol, L'uiuis, \$d 1832-1898

400 1_ Kèrroll, L'iuìs, \$d 1832-1898


400 0_ Oxford chiel, \$d 1832-1898

400 1_ Carroll, Lewis, \$d 1832-1898

400 1_ Kerōl, Lūyī, \$d 1832-1898

500 1_ Dodgson, Charles Lutwidge, \$d 1832-1898 \$w nnc

663 For mathematical works of this author, search also under \$b Dodgson, Charles Lutwidge, 1832-1898.



Wrote about
poetry and
mathematics

Non-contemporary author with
separate bibliographic identities

19

Contemporaries – Works Appear Under More Than One Name & One Is A Pseudonym

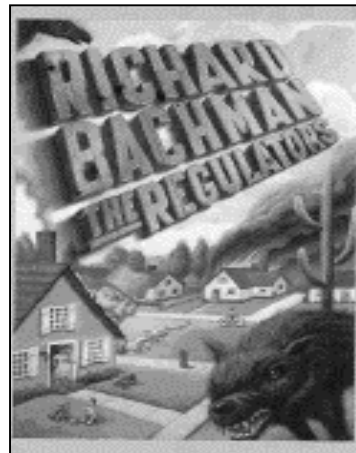
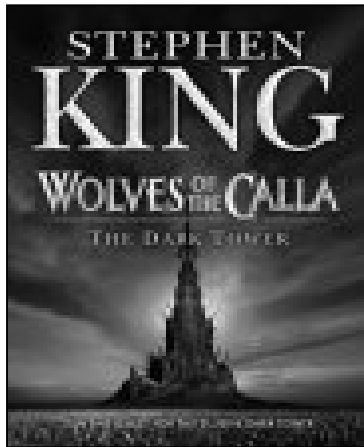
A) Only Two Names: Two Separate Headings

- Create two separate name authority records
Example: Stephen King
- No "basic" heading nor 663 field required
- Connect the names with simple see also (5XX) references (no \$w nnc)

LCRI 22.2B

20

Example: Stephen King



➡ **Richard Bachman is really Stephen King**

21

Stephen King: NAR

010 n 79063767 \$z n 87125504

040 DLC \$b eng \$c DLC \$d DLC \$d OCl \$d DLC \$d OCoLC \$d OCl

053 _0 PS3561.I483

100 1_ King, Stephen, \$d 1947-

400 1_ King, Stiven, \$d 1947-

400 1_ King, Stivn, \$d 1947-

500 1_ Bachman, Richard

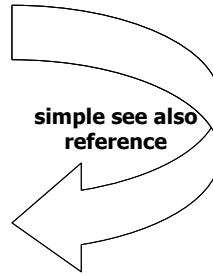
670 His Carrie, 1974.

670 Washington post, 4/9/85 \$b (Stephen King has written 5 novels using the pseudonym Richard Bachman)

simple see also
reference

22

Richard Bachman: NAR	
010	n 84087593
040	DLC \$b eng \$c DLC \$d DLC \$d OCoLC
005	20030930052303.0
100 1	Bachman, Richard
400 1	Pak'ŭman, Ric'hödŭ
400 1	Bakhman, Richard
400 1	Ba.kman, Ritsard
500 1	King, Stephen, \$d 1947-
670	His Thinner, c1984: \$b t.p. (Richard Bachman)
670	Washington post, 4/9/85 \$b (Stephen King has written 5 novels using the pseudonym Richard Bachman)



23

CONTEMPORARIES – WORKS APPEAR UNDER MORE THAN ONE NAME & ONE IS A PSEUDONYM

B) THREE OR MORE NAMES: THREE OR MORE SEPARATE HEADINGS

- Create three or more separate name authority records
Example: Jean Plaidy
- Choose a "basic" heading
- Provide 663 field for each NAR
- Use linking 5XX references coded \$w nnnc

LCRI 22.2B

24

Jean Plaidy used multiple pseud.



Plaidy, Jean (Philippa Carr, Victoria Holt, Eleanor Hibbert)

Beyond the Blue Mountain : a Romance Novel

Note: She chose to use various names because of the differences in subject matter.

25

053 _0 PR6015.I3

100 1_ Plaidy, Jean, \$d 1906-

400 1_ Hibbert, Eleanor, \$d 1906- \$w nna

400 1_ Burford, Eleanor, \$d 1906-

500 1_ Ford, Elbur, \$d 1906- \$w nnnc

500 1_ Kellow, Kathleen, \$d 1906- \$w nnnc

500 1_ Tate, Ellalice, \$d 1906- \$w nnnc

500 1_ Holt, Victoria, \$d 1906- \$w nnnc

500 1_ Carr, Philippa, \$d 1906- \$w nnnc

663 For works of this author entered under other names, search also under \$b Carr, Philippa, 1906- , \$b Ford, Elbur, 1906- , \$b Holt, Victoria, 1906- , \$b Kellow, Kathleen, 1906- , \$b Tate, Ellalice, 1906-

**Multiple
pseud.
Basic
heading**

**LCRI
22.2B
page 2**

26

Multiple pseud. LCRI 22.2B (pg. 2)

010 n 88137934

040 DLC \$b eng \$c DLC \$d DLC \$d OCoLC

005 20020130052355.0

053 _0 PR6015.I3

100 1_ Carr, Philippa, \$d 1906-

400 1_ Karr, Filippa, \$d 1906-

500 1_ Plaidy, Jean, \$d 1906- \$w nnnc

663 Works by this author are entered under the name used in the item. For a list of other names used by this author, search also under \$b Plaidy, Jean, 1906-

670 The changeling, c1989: \$b t.p. (Philippa Carr)₂₇

**Multiple pseud.
663 other headings**



AACR2 22.2C Change of name

Generally only **one name authority record** should be found in the NAF representing one author

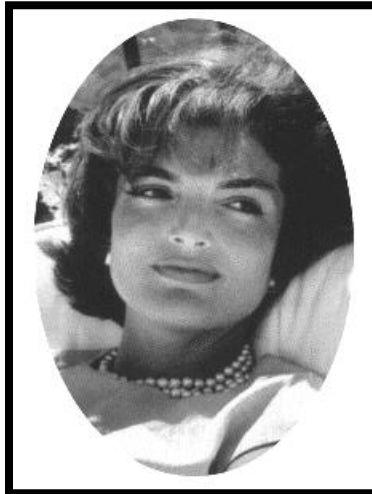
If a person changes his or her name: NAR for person is changed to reflect the new name or latest name

28

Jackie Bouvier Kennedy Onassis



**Latest
name**



**On July 28,
1929,
Jacqueline
Bouvier was
born in a small
hospital in
Southampton,
New York.**

29

010 n 50002974

040 DLC \$b eng \$c DLC \$d DLC \$d DLC

005 20030501183806.0

**AACR2
22.2C**

100 1_ Onassis, Jacqueline Kennedy, \$d 1929-

400 1_ Kennedy, Jacqueline Bouvier, \$d 1929- \$w nne

400 1_ Kennedy, Jackie, \$d 1929-

400 1_ Onassis, Jackie, \$d 1929-

400 1_ Bouvier, Jacqueline, \$d 1929-

400 0_ Jiaquilin, \$d 1929-

400 0_ Jackie, \$d 1929-

Previous 1XX
Heading

670 Heller, D. Jacqueline Kennedy, 1961.

670 Washington post, May 20, 1994: \$b p. A1 (Jacqueline Kennedy Onassis, d. 5-19-1994, 10:15 pm, New York, N.Y.) p. A20 (Jacqueline Bouvier Kennedy Onassis, b. 7-28-1929, Southampton, N.Y., daughter of John Bouvier; married John F. Kennedy, 9-12-1953) p. 6 (married Aristotle Onassis, 1968)

670 Salinger, N. Jackie, 1998: \$b t.p. (Jackie) cover p. 4 (Jackie Kennedy) p. 4 (Jackie Onassis)

670 Jackie, Ethel, Joan, c2000: \$b p. 458 (Jacqueline Bouvier Kennedy Onassis; d. 05-19-1994)

30

010 n 87901127	
040 NjP \$c DLC \$d DLC	
005 19940218102252.9	
100 1 Kennedy, Caroline, \$d 1957-	
400 1 Schlossberg, Caroline Kennedy, \$d 1957-	
670 Contemp. authors, v. 140 \$b (Kennedy, Caroline (Bouvier); b. 1957; lawyer and writer)	
670 NUCMC data from John F. Kennedy Library for Her Papers, 1983-1988 \$b (Kennedy, Caroline Bouvier, 1957-; married Edwin Schlossberg; Caroline Kennedy Schlossberg)	
31	

<p>Choice Among Different Forms of the Same Name — IN DIFFERENT WORKS</p> <p>LCRI 22.3A</p>
<p>Three basic principles:</p> <ol style="list-style-type: none"> 1) If form found on item being cataloged agrees with form used as a heading on existing bib records, accept this form as AACR2 2) If form found does not agree with form already in use, choose the form found in 80% of the author's works as the most commonly found form. Theses are taken into account. 3) If no commonly found form, choose the fullest form as the AACR2 form.
32

FORM FOUND IN ITEM: Not same as form on other items

Item in hand

245 10 What Louisiana employers need from Louisiana's education system / \$c prepared by Jackie Ducote.

260 [Baton Rouge] : \$b Louisiana Association of Business and Industry, \$c c2003.

LCRI 22.3A

040 LSL \$c LSL

043 n-us-la

092 371.04 \$b Lou 1997

090 \$b

Bib in OCLC

100 1_ Ducote, Jacklyn M.

245 10 Louisiana charter school handbook / \$c compiled by Jacklyn M. Ducote.

260 [Baton Rouge] : \$b Louisiana Association of Business, \$ 2000.

33

1XX of NAR: Jacklyn M. Ducote

100 1 Ducote, Jacklyn M.

400 1 Ducote, Jackie

670 What Louisiana employers need from Louisiana's education system, c2003: \$b t.p. (Jackie Ducote)

670 OCLC, Dec. 2, 2003 \$b (hdg.: Ducote, Jacklyn M.; usage: Jacklyn M. Ducote)

No commonly found form— choose fullest form

34

80% rule: LCRI 22.3A

100 1 Ducote, Jackie.

245 10 What Louisiana employers need from Louisiana's education system / \$c prepared by Jackie Ducote.

Item in hand c2003

100 1_ Ducote, Jackie

100 1 Ducote, Jacklyn M.

245 10 Schools in Louisiana : what employees need / \$c by Jacklyn M. Ducote.

BIB in OCLC c1987

100 1 Ducote, Jackie.

245 10 Louisiana's education system / \$c prepared by Jackie Ducote.

BIB in OCLC 1999

245 10 Educational system of Louisiana / \$c edited by Jackie Ducote.

700 1 Ducote, J. M.

BIBS in OCLC 2000

100 1 Ducote, Jacklyn M.

245 10 Louisiana schooling / \$c Jackie Ducote. 1994

35

NAR FOR: Ducote, Jackie

100 1_ Ducote, Jackie

400 1_ Ducote, Jacklyn M.

670 What Louisiana employers need from Louisiana's education system, c2003: \$b t.p. (Jackie Ducote)

670 OCLC, Dec. 2, 2003 \$b (hdgs.: Ducote, Jackie; Ducote, Jacklyn M.; Ducote, J. M.; usage: Jackie Ducote; Jacklyn M. Ducote)

36

Choice Among Different Forms of the Same Name — IN SAME ITEM LCRI 22.3A

1. Title page: T.B. Smith
Verso of t.p.: T. Basil Smith III
Heading: 100 1_ \$a Smith, T. B. \$q (T. Basil)

2. Verso of t.p.: R.J. Gottschall
page 239: Robert J. Gottschall
Heading: 100 1_ \$a Gottschall, R. J. \$q (Robert J.)

**Choose: 1) chief source; 2) prominent source;
3) fullest form if neither 1) nor 2) applies**

37

Names Written in a Nonroman Script LCRI 22.3C

- Decision that a person writes in a roman alphabet language is based on 1st item cataloged
- If it becomes evident later that most of the person's works were written in a nonroman script, apply the provisions of LCRI 22.3C
- Apply alternative rule for 22.3C2

38

Names Written in a Nonroman Script – Alternative Rule (LCRI 22.3C)

- If person is likely to appear in general English language reference sources, search *Academic American Encyclopedia*, *Encyclopedia Americana*, & *Encyclopedia Britannica* (15th ed.)
- If name found in all 3 sources in a single form, use that form
- If found in all 3 sources but form varies, use form found in *Encyclopedia Britannica*
- If name not found in all 3 sources, use the systematically romanized form of name in the heading

39

Names Written in a Nonroman Script – Alternative Rule (LCRI 22.3C)

- Exception: For famous persons entered under given name but not found in all 3 of these sources, consult major specialized encyclopedias to determine if there is a well-established English-language form of the name (e.g., *New Catholic Encyclopedia*, *Oxford Classical Dictionary*)

40

Names Written in a Nonroman Script – Alternative Rule (LCRI 22.3C)

- Exception: For persons of too recent fame to be included in the 3 English-language encyclopedias, consult the yearbooks of the encyclopedias and the indexes of major newspapers to determine if there is a well-established English-language form of the name

41

Entry Element: AACR2 22.4A

- Select as entry element the part of the name under which the person would normally be listed in authoritative alphabetic lists in his or her language or country of residence or activity
- Detailed cases in 22.5-22.9
- If person's preference differs from above, follow that preference

42

Order of elements: AACR2 22.4B

Entry element may or may not be the first element of the name:

Name: Ram Gopal

Heading entered as: 100 0_ Ram Gopal

Name: Chiang Kai-shek

Surname: Chiang

Heading entered as: 100 1_ Chiang, Kai-shek

Name: Mary Cassatt

Heading entered as: 100 1_ Cassatt, Mary

43

Entry Under Surname or Element Treated as a Surname 22.5A&B

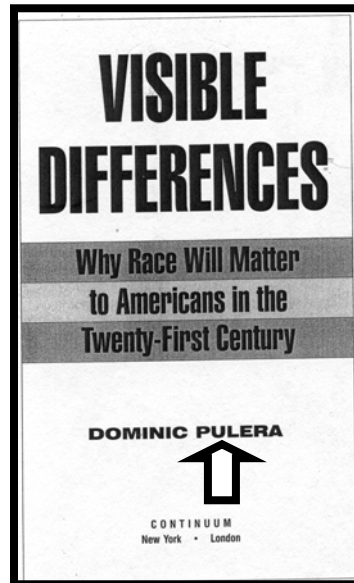
If name contains surname, consists only of a surname, or contains an element that functions as a surname, enter under that surname:

- 100 1_ Mantovani
- 100 1_ Bernhardt, Sarah
- 100 1_ G., Michael
- 100 1_ X, Malcolm

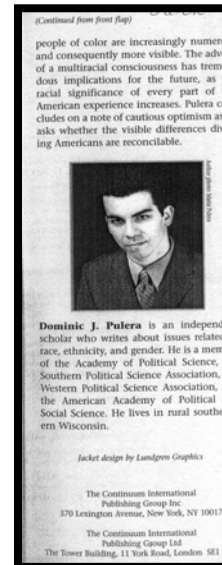
44

ENTRY UNDER SURNAME

**Title
page**



**Jacket
flap**



45

Compound Surnames 22.5C

- ✓ Compound surnames—enter under preferred form if known. If unknown, enter under form found in reference sources
- ✓ Hyphenated compound names are entered under the first element
 - Henry-Bordeaux, Paule
 - Day-Lewis, Daniel

46

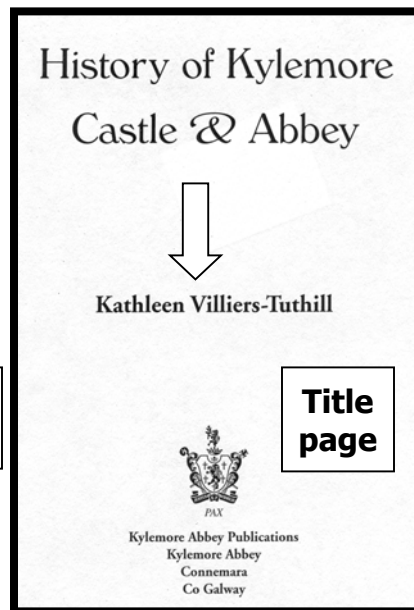
Compound Surnames 22.5C

- ✓ 22.5C4-22.5C8 deal with other compound surnames and names that appear to have compound surnames
- ✓ Rules for entry vary by the person's language

47

Compound surname

**100 1_ \$a Villiers-Tuthill,
Kathleen**



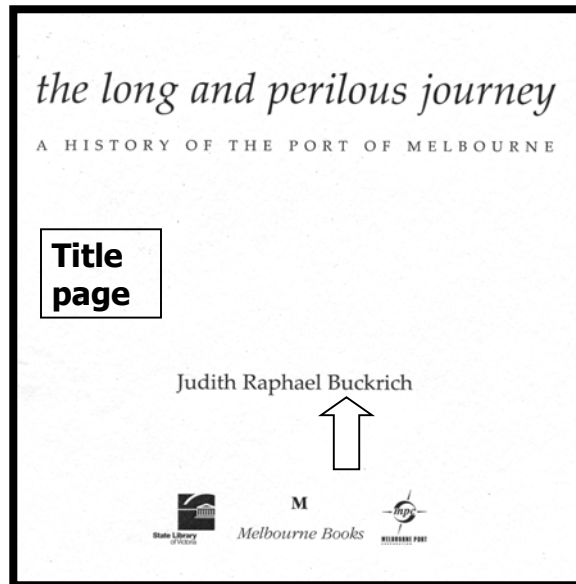
48

Compound surname?

**AACR2
22.5C5**

OR

**AACR2
22.5C6**



Surnames with prefixes 22.5D

- ✓ AACR2 gives several pages of instructions on how to create headings for persons whose surnames have separately written prefixes. Each language has its own set of conventions.

Van Buren, Martin (English)

Driessche, Albert van (Dutch)

Da Ponte, Lorenzo (Italian)

Fonseca, Martinho Augusto da (Port.)

50

Surnames with prefixes 22.5D

- Summarizes entry element practice
- Determine nationality and language
- Entry element based on how name is listed in reference sources in that language/country
- Reference sources trump standard entry element

51

Surnames with prefixes 22.5D

- If person used 2 or more languages enter under predominant language
- In case of doubt, follow rules for English if English is one of the languages
- If person has changed countries, follow rules for the language of the adopted country
- Last resort: follow rules for language of name

52

APPLYING 22.5D

■ ***Items written in English***

If name has appearance of being foreign (non-English language) & item published in the U.S. or another English-language country: treat author as if his/her language is English

EXAMPLE: Di Cicco, Giuseppe

➤ **Do not code heading as provisional**

53

APPLYING 22.5D

■ ***Items written in English***

If author's name has the appearance of being foreign & item is published in U.S. or another English-language country BUT other info leads one to believe author's language is not English: treat author as if his/her language is not English

EXAMPLE: Vita indicates author is native to Thailand

➤ **Code heading as provisional**

54

APPLYING 22.5D

■ ***Items written in English***

If the author's name has the appearance of being foreign and item is published in English in a non-English-language country (e.g., Thailand): treat author as if his/her language is NOT English

- If author's native language appears to require diacritics and these are not apparent on the item, code the heading as provisional

55

Entry under title of nobility AACR2 & LCRI 22.6

- Duke of Wellington whose personal name was Arthur Wellesley is entered under the proper name of the title (Wellington)
- Follow proper name in the title by the person's personal name in direct order (Arthur Wellesley)
- Follow personal name by term of rank (Duke of)

56

NAR FOR DUKE OF WELLINGTON

100 1 Wellington, Arthur Wellesley, \$c Duke of, \$d 1769-1852
400 1 Wellesley, Arthur, \$c Duke of Wellington, \$d 1769-1852
400 1 Wesley, Arthur, \$c Duke of Wellington, \$d 1769-1852
400 0 Duke of Wellington, \$d 1769-1852
670 Guedalla, P. Wellington, 1942.
670 The iron Duke of Wellington, 1999 \$b (Arthur Wellesley; 1769-1852; 1st Duke of Wellington)

LCRI
22.6

57

Entry Under Given Name, Etc. 22.8

Enter a name that does not include a surname and that is borne by a person who is not identified by a title of nobility under the part of the name under which the person is listed in **reference sources**

100 0_ \$a Leonardo, \$c da Vinci

100 0_ \$a John, \$c the Baptist

58

Entry Under Other Names 22.9

Rules for Roman and Icelandic names

Entry Under Initials, Letters, or Numerals 22.10

Rule for names consisting of initials, separate letters, or numerals – enter in direct order.

100 0_ H. D.

100 0_ A. de O.

100 0_ 61648

100 0_ 3-2 \$c (Rap vocalist)

59

Entry Under Phrase 22.11

Enter a name consisting of a phrase or a characterizing word or phrase in direct order:

100 0_ Physician

100 0_ Boy George

400 1_ George, Boy

100 0_ River \$c (Writer)

400 1_ Shook, Sally Barnes

**Add qualifier if
needed 22.11A**

60

Entry Under Phrase 22.11B

However . . .

100 0_ Jemima, \$c Aunt
400 0_ Aunt Jemima

100 0_ Fannie, \$c Cousin
400 0_ Cousin Fannie

100 0_ Gregory, \$c Chef, \$d 1933-
400 0_ Chef Gregory, \$d 1933-
400 1_ Gregory, Jim, \$d 1933-
400 1_ Gregory, James Edward, \$d 1933-

61

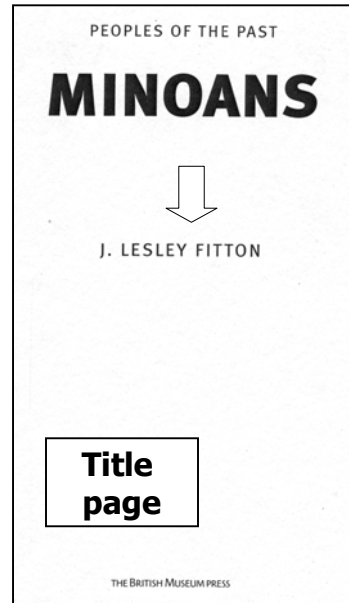
WorldCat: The Chef Gregory cookbook									
OCLC 15042579		Held by DLC - 1 other holding							
Books	Rec Stat	c	Entered	19870318	Replaced	20040108			
Type	a	ELvl	Src		Audn	Ctrl	Lang	eng	
BLvl	m	Form	Conf	0	Biog	MRec	Ctry	inu	
		Cont	GPub		LitF	0	Indx	1	
Desc	a	Ills	a	Fest	0	DtSt	s	Dates	1986,
010	87123110								
040	DLC ‡c DLC ‡d OCLCQ								
020	0930899059								
050	0_ TX715 ‡b .G8294 1986								
082	0_ 641.5 ‡2 19								
049	DLCC								
100	1_ Gregory, ‡c Chef, ‡d 1933-								
245	14 The Chef Gregory cookbook / ‡c with Lois Rosenthal ; illustrated by Michael Dektas.								
260	Columbus, Ind. : ‡b Fanatic Pub., ‡c c1986.								
300	373 p. : ‡b ill. ; ‡c 23 cm.								
500	Includes index.								

62

Initials in name

No other information found elsewhere in book. No other names for this person found in OCLC.

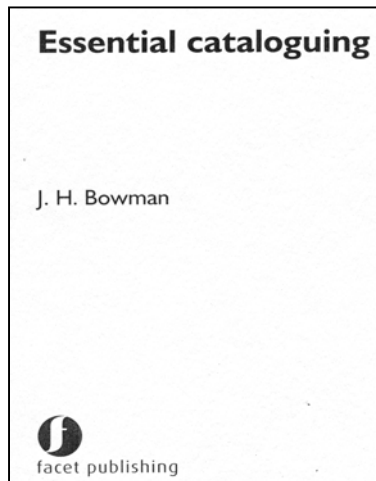
100 1_ \$a Fitton, J. Lesley



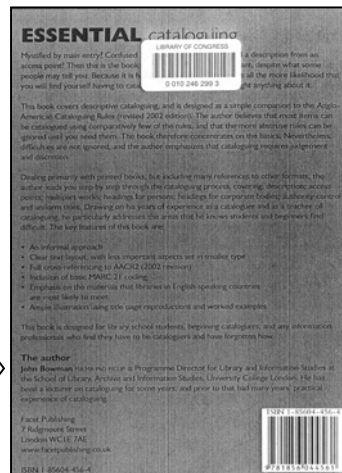
**Title
page**

63

100 1_ Bowman, J. H. \$q (John H.)



Title page



p. 4 of cover

64

Qualifiers to name headings

Abbreviated name

Example

Name: Wm. Brownridge

heading:

100 1_ \$a Brownridge, Wm. \$q
(William)

400 1_ \$a Brownridge, William

65

LCRI 22.17: Dates (No Conflict)

AACR2 22.17A provides a list of examples for constructing the addition of dates.

Pre-20th century persons' dates can be less precise than 20th century persons' dates (flourished dates and century dates are limited to pre-20th cent. persons)

When precise dates are known, add them:

- add birth date if known (b. 1859 or 1969-)
- add birth and death dates if both known (1867-1906)
- add death date if just that is known (d. 1883)

66

Conflicts — LCRI 22.17-22.20

- Conflict: when there are 2 different persons with the identical form of name
 - Generally there are 4 conflict situations:
 - New 100 is same as 100 on another NAR, but not same person

67

Conflicts — LCRI 22.17-22.20

- 100 or 700 on a bibliographic record for which no NAR exists & it is the same as new heading but not same person
- 400 on existing NAR same as new heading, or
- 400 on new NAR same as 100 on existing NAR

68

Duplicates vs. Conflicts

Application of LCRI 22.17-22.20

- Duplicate: When 2 or more NARs exist for for the same person
 - Solution: keep one NAR and delete others
- When a conflict situation occurs LCRI 22.17-22.20 offers 3 choices.

69

LCRI 22.17-22.20 Options for resolving conflicts

- Add to the heading being established
- Change the established heading
- Apply AACR2 22.20 (make an undifferentiated name)

70

Resolving Conflicts

— LCRI 22.17-22.20

Resolve conflicts by adding, in order of preference:

- a) Full form of initial or abbreviation when known If both a) and b) are known, add both.
- b) Date other than a fl. or cent. date
- c) Distinguishing term or term of address or honor associated with name (cf. 22.19 and cf. LCRI 22.19 for pre-20th century names)
Mrs. Dr. Prof. Ph. D. Rev. Captain

Resolving Conflicts

— LCRI 22.17-22.20

- d) Full forename or surname of initials used in name chosen for the heading
- e) Full forename when forename chosen for the heading is a nickname
- f) A “flourished” date – pre-20th century
- g) A century date – pre-20th century

Resolving Conflicts – LCRI 22.17-22.20

First apply these options to the new heading being established.

If not successful in breaking the conflict, change the established heading or the reference only if that is in conflict according to the same order of preference.

73

Qualifiers to name headings: no conflicts

LCRI 22.18A: Add fuller form (in parenthesis) to names that contain initials or abbreviations when the full form is known with certainty.

➤ Do not search solely to discover this information if there is no conflict

74

Fuller Forms – LCRI 22.18A

When adding the full form of the name, follow RI guidelines:

a) Initials in forename

Flam, F. A. \$q (Floyd A.)

Smith, T. B. \$q (T. Basil)

but Beruete y Moret, A. de \$q (Aureliano), \$d 1876-1922

b) Initial in name entered as a given name

A. Samad Said \$q (Abdul Samad Said), \$d 1935-

M. Alicia \$q (Mary Alicia), \$c Sister, S.C.N.

c) Abbreviation in heading rather than initial

Brownridge, Wm. \$q (William)

Fdez.-Rivera García, Manuel \$q (Fernández-Rivera García)

75

Distinguishing Terms — LCRI 22.19

For pre-20th century persons whose headings conflict, if firm dates aren't known, resolve conflict with one of these additions:

- 1) Term of address Furness, Horace Howard, Mrs.
- 2) Descriptive phrase T. H. \$c (Merchant of York)
 Webb, William, \$c gentleman
- 3) "Flourished" date(s) Dyer, Will, \$c wool merchant
- 4) "Century" date(s)

76

Distinguishing Terms — LCRI 22.19

For musicians and Biblical figures there are exceptions to these guidelines.

Musicians: a word designating a musician's occupation may be used as a qualifier

100 1_ Roberts, Marcus, \$c pianist

100 1_ Baker, Sharon, \$c soprano

Biblical figures: the term "(Biblical figure)" or "Biblical" plus the designation of the major Biblical category that fits the person may be used

100 0_ Jonah \$c (Biblical prophet)

100 0_ Miriam \$c (Biblical figure)

77

Can't Resolve Conflict?

If the conflict cannot be resolved, apply 22.20 and create an undifferentiated name authority record

Jones, Tom

T. J.

Person of distinction

78

Undifferentiated Names AACR2 22.20

100 1_ Miller, Robert

670 [Author of The primary schools of Mexico]

670 The primary schools of Mexico, 1984: \$b
t.p. (Robert Miller)

670 [Author of Fishing in Maine]

670 Fishing in Maine, 2003: \$b t.p. (Robert
Miller)

675 Contemporary authors; \$a Biog. dict. of
Amer. educ., 1978

79

When information becomes available for an
undifferentiated name

100 1_ Miller, Robert

~~670 [Author of The primary schools of Mexico]~~

~~670 The primary schools of Mexico, 1984: \$b
t.p. (Robert Miller)~~

~~670 [Author of Fishing in Maine]~~

~~670 Fishing in Maine, 2003: \$b t.p. (Robert
Miller)~~

675 Contemporary authors; \$a Biog. dict. of
Amer. educ., 1978

80

Result of establishing new name

Existing NAR

010 n 84012345

100 1_ Miller, Robert

670 The primary
schools of Mexico,
1984: \$b t.p.
(Robert Miller)

New NAR 010 no2004038227

100 1_ Miller, Robert, \$d
1946-

667 Formerly on
undifferentiated name
record n 84012345

670 Fishing in Maine,
2003: \$b t.p. (Robert
Miller)

670 New England fishing
guide, c2004: \$b t.p.
(Robert Miller) jkt. (b.
May 29, 1946)

81

Personal Names Things To Remember

82

Personal Names: Things to Remember

- Establish the heading per title page usage (beware of use of surname only on t.p.) [cf. LCRI 22.1B]
- The author's preference overrides cataloging rules [LCRI 22.3A; 22.5C2]
- Add dates if available [apply option in 22.17]

83

Personal Names: Things to Remember

- Do not add death dates to existing headings (except if needed to break a conflict) [LCRI 22.17]
- Fill out abbreviations and initials if possible [LCRI 22.1B and option in 22.18]
- Add references when necessary without need to justify [22.5C1, etc.]

84

Personal Names: Things to Remember

- Resolve conflicts for headings *and* reference [LCRI 22.17-22.20]
- Make sure headings and references are justified in 670(s), including additions
- Be aware of AACR2-compatible headings, previous practices, and bad examples

85

References for Personal Names 26.1

1. Remember normalization rules
2. Construct a reference in the same form as if chosen as the heading
3. Dates included to match heading
4. Qualify initials with full form if known
100 1_ Bodin, Eugène, \$d 1824-1897
400 1_ Bodin, E. \$q (Eugène), \$d 1824-1897

86

References with Initials – LCRI 26.1

100 1_ Hays, James D., \$d 1926-

400 1_ Hays, J. D. \$q (James D.), \$d 1926-

not Hays, J. D. \$q (James Donald), \$d 1926-

Do not combine different language forms or transliterations:

100 1_ Arnol'dov, Arnol'd Isaevich

400 1_ Arnoldow, A. I.

not Arnoldow, A. I. \$q (Arnol'd Isaevich)

87

Recording variant forms — LCRI 26.2

From chief source or another location in
same item if it adds information

Example:

100 1_ Wilde, Patricia

400 1_ Wilde, Patty

670 Moose encounters, 2004: \$b t.p.
(Patricia Wilde) pref. (Patty Wilde)

88

Recording variant forms – LCRI 26.2

From chief source or another location of a different item if it adds information

100 1_ Inmon, William H.

400 1_ Inmon, W. H. \$q (William H.)

670 Effective data base design,
1980: \$b t.p. (William H. Inmon)

670 Design review methodology for
a data base environment, c1982: \$b
t.p. (W.H. Inmon)

89

Primary entry elements -- LCRI 26.2

Definition: All elements to the left of the comma and the first element to the right of the comma in \$a

100 1_ \$a Jackson, Richard L.

400 1_ \$a Jackson, Rick

670 \$a Conflict and cooperation in police
labour relations, c1980: \$b t.p. (Richard L.
Jackson) p. 239 (Rick Jackson)

90

**Primary entry elements
-- LCRI 26.2**

100 1_ \$a Jackson, Richard L.

400 1_ \$a Jackson, Rick

670 \$a Conflict and cooperation in police
labour relations, c1980: \$b t.p. (Richard L.
Jackson) p. 239 (Rick Jackson) p. 4 of
cover (Richard L.M. Jackson)

Do not also make:

400 1_ \$a Jackson, Richard L. M.

91

**Make only one reference from
each variant — LCRI 26.2**

100 1_ Meier-David, Hugnette

400 1_ David, Hugnette Meier-

400 1_ Meyer-David, Hugnette

NOT: 400 1_ David, Hugnette Meyer-

670 Zado, R. Die kleine Rodung,
1978: \$b t.p. (Hugnette Meier-David)
verso t.p. (Hugnette Meier David) p. 16
(Hugnette Meyer-David)

92

Use forms corresponding to usage — LCRI 26.2

100 1_ Mills, R. A. \$q (Rachel A.)

400 1_ Mills, Rachel

NOT: 400 1_ Mills, Rachel A.

670 \$a Modern ocean floor processes
and the geological record, 1998: \$b
t.p. (R.A. Mills) pref. (Rachel Mills)

*In case of conflict with another hdg.
use:*

Mills, Rachel \$q (Rachel A.)

93

See Also References – LCRI 26.2C

If reference conflicts with another
established heading and there is information
in the 670 that can resolve conflict then it
may be added to the reference only.

100 1_ Erhard, Werner

400 1_ Rosenberg, Jack, \$d 1932-

[information from additional 670]

Already established NAR:

100 1_ Rosenberg, Jack

94

See Also References – LCRI 26.2C

If reference conflicts with another established heading and there is no way to resolve conflict:

100 1_ Goldstein, Chaim Itsl

500 1_ Goldstein, Charles

Another NAR already established for:

100 1_ Goldstein, Charles

95

See Also References from Individuals to the Group – LCRI 26.2C

When the name of a group contains the name of one or more of its members, make a see also reference from the heading for each individual named in the group heading to the heading for that group and from that group heading to the heading for each individual.

110 2_ Sonny & Cher
410 2_ Sonny and Cher
500 1_ Bono, Sonny
500 0_ Cher, \$d 1946-

100 1_ Bono, Sonny
400 1_ Bono, Salvatore, \$d 1935-1998
510 2_ Sonny & Cher

100 1_ Cher, \$d 1946-
400 1_ Sarkisian, Cherilyn, \$d 1946-
400 1_ Bono, Cher, \$d 1946-
510 2_ Sonny & Cher

96

PERSONAL NAME REFERENCES THINGS TO REMEMBER

97

PERSONAL NAME REFERENCES THINGS TO REMEMBER

- Justify in 670 field unless allowed by rules (xref from second surname or prefixes, etc.)
- Formulate xref as if a heading
- Additions should usually match the heading [LCRI 26.1]

98

PERSONAL NAME REFERENCES THINGS TO REMEMBER

- Make all references that would affect “primary elements” [LCRI 22.2]
- Use \$w to show special relationships (earlier AACR2 or pre-AACR2 headings; pseudonyms)
- Search all xrefs to assure they do not conflict with ESTABLISHED HEADINGS

99

PERSONAL NAME REFERENCES THINGS TO REMEMBER

- Remember references may conflict with each other
- Resolve conflicts between headings and references by following list on LCRI 22.17-22.20 [apply LCRI 26.2C if cannot resolve]

100

Personal Name Exercises

101

Canadian Headings

see also *DCM Z1 Appendix
for Canadian Headings*

102

NACO Training for OCLC Libraries

AMICUS Web (Registered service) - Microsoft Internet Explorer


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Address <http://amicus.nlc-bnc.ca/aaaweb/aaalogine.htm>

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000 00455cz 2200157n 4500
001 0104G2568
003 CaOONL
005 19890713 .0
008 770413nxbacnnnaabn a aaa
016 °a0104G2568
040 °aCaOONL °beng °cCaOONL °dCaOONL
042 °anlc
100 1 °aGrabb, Edward G
400 1 °wnane°aGrabb, Edward George
670 °aHis Ph.D. thesis.
670 °aCIP info. (Holt, Rinehart and Winston of Canada) Full name.
Date (1947) Canadian.
```

105

Canadian Headings

- **Personal Names** (Canadian Imprints)
 - Establish from:
 - Can. CIP data
 - Headings on AACR2 LAC bibliographic records from utility databases

106

Canadian Personal Name Headings

- **CaOONL** is the MARC code for **Library and Archives Canada**
- **NLC** is the OCLC code for **Library and Archives Canada**

107

Canadian Personal Name Headings

If found cite in 670 as:

- 670 Title of work, date: \$b t.p. (usage)
Can. CIP (data found)
- 670 LAC bib. record \$b (hdg.: _____ ;
usage: _____)
- 670 OCLC, Feb. 15, 1996 \$b (hdg. on
NLC bib:_____ ; usage:_____)

108

Canadian Personal Name Headings

If NOT found in Can. CIP or on an LAC bibliographic record:

- If possible search the LAC authority file AMICUS on the Web

<http://amicus.nlc-bnc.ca/aaweb/aalogine.htm>

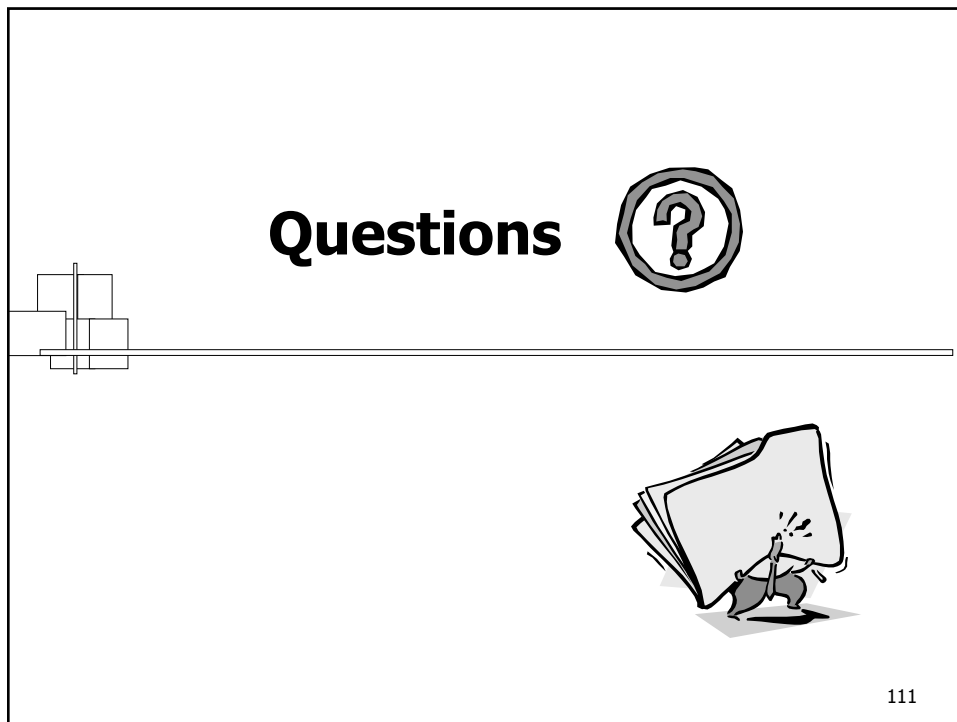
109


Canadian Personal Name Headings

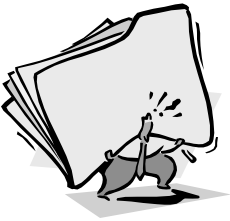
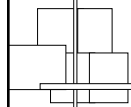
If ***not*** found:

- Cite the LAC source searched in a 675 field, and
- Establish heading based on form(s) found in the item and info found elsewhere

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Questions 



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